

U.S DEPARTMENT OF TRANSPORTATION	FEDERAL HIGHWAY ADMINISTRATION	CALIFORNIA DEPARTMENT OF TRANSPORTATION	
<b>REQUEST FOR APPROVAL OF COST-EFFECTIVENESS/PUBLIC INTEREST FINDING</b>			
<b>COST-EFFECTIVENESS DETERMINATION REQUIRED</b>		<b>PUBLIC INTEREST DETERMINATION REQUIRED</b>	
<input type="checkbox"/> Experimental Contracting methods (23 CFR 635.204) <input type="checkbox"/> Informal Bid (Less than three week advertisement) (23 CFR 635.112)* <input type="checkbox"/> Use of force account (day labor) (23 CFR 635.201) <input type="checkbox"/> Use of publicly owned equipment (23 CFR 635.106)  <input type="checkbox"/> Other: _____		<input type="checkbox"/> Use of State-furnished materials (23 CFR 635.407) <input type="checkbox"/> Mandatory use of borrow/disposal sites (23 CFR 635.407) <input type="checkbox"/> Use of patented and proprietary materials (23 CFR 635.411) <input type="checkbox"/> Waiver of Buy America Requirements (23 CFR 635.410)*  <input type="checkbox"/> Other: _____ * <b>Requires Caltrans/FHWA approval</b>	
FEDERAL-AID PROJECT	CLASS OF FEDERAL FUNDS: <input type="checkbox"/> IM <input type="checkbox"/> NH <input type="checkbox"/> STP <input type="checkbox"/> OTHER:		
	STEWARDSHIP: <input type="checkbox"/> STATE AUTHORIZED <input type="checkbox"/> FULL OVERSIGHT		
EA	DIST-CO-RTE- PM	ESTIMATED COST	FEDERAL FUNDS
GENERAL LOCATION		GENERAL DESCRIPTION OF WORK:	
REASONS THAT REQUESTED APPROVAL IS CONSIDERED TO BE COST-EFFECTIVE OR IN THE PUBLIC'S BEST INTEREST (STATE):			
REMARKS (STATE) :			
APPROVED BY LOCAL AGENCY'S REPRESENTATIVE (State Author. Projects)		REPRESENTATIVE NAME AND TITLE:	Date:

Distribution: 1) Local Agency File –Original 2) DLAE –Copy 3) Caltrans Project Manager -Copy if on the SHS

## INSTRUCTIONS

1. Check appropriate box under “Cost-Effective Determination Required” or “Public Interest Determination Required.”
2. Check “Class of Funds” as follows: IM-Interstate Maintenance, NH-National Highway, STP-State Transportation Program, Other (all other classes).
3. Provide the Federal-aid Project EA number in first column.
4. Identify Caltrans District-County-State Route-Post Mile, or City and street in second column.
5. List Estimated Cost of the portion of the project subject to this “Finding”.
6. List the amount of the Federal Funds in the portion of the project subject to this “Finding.”
7. Describe “General Location” applicable to this “Finding.”
8. Provide “General Description of Work” affected by this “Finding.”
9. Explain and give “Reasons that requested approval is considered to be cost-effective, or in the public’s best interest.” Provide cost analysis or comparison as evidence of cost-effectiveness.
10. “Remarks” is for the Local Agency Representative approving the Finding.
11. Signature, Name, and Title of Local Agency Representative approving “Finding.”
12. Date of Local Agency Representative’s signature.

### NOTES:

- a. Local agency “State Authorized” Projects **off** the State Highway System (SHS) or NHS requires the signature of the City or County Public Works Director.
- b. Local agency “State Authorized” Projects **on** the State Highway System, or NHS also requires Caltrans approval.
- c. Local agency “State Authorized” Projects on the Interstate requires both Caltrans and FHWA approval.
- d. One exception to the foregoing is the local agency “Waiver of Buy America Requirements” which requires both Caltrans and FHWA approval, **off** and **on** the State Highway System or NHS.
- e. Questions, the local agency should check with the DLAE for projects **off** the SHS, and the Caltrans Project Manager for projects on the SHS or Interstate.